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PTO/SB/21 (08-03)

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# **TRANSMITTAL FORM**

(To be used for all correspondence after initial filing)

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|----------------------|-------------------|
| Application Number   | 10/698,261        |
| Filing Date          | October 31, 2003  |
| First Named Inventor | George E. Mueller |
| Group Art Unit       | 3644              |
| Examiner Name        |                   |
| Attorney Docket No.  | 59967-44          |

| ENCLOSURES (Check all that apply)  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|
| Fee Transmittal Form Fee Attached Amendment/Response After Final Affidavits/declaration( Extension of Time Request Express Abandonment Request Information Disclosure Statement; Form PTO-144 Cited References Certified Copy of Priority Document(s) Response to Missing Part under 37 C.F.R. 1.52 or 1 Response to Missing Parts/Incomplete Applicat | Assignment Papers (for an Application)  Drawing(s)  Request for Corrected Filing Receipt Licensing-related Papers Petition Petition to Convert to a Provisional Application  Power of Attorney, Revocation, Change of Correspondence Address Declaration  Statement under 37 CFR 3.73(b) Terminal Disclaimer | CD(s), Number of CD(s)  After Allowance Communication to Group  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Return Receipt Postcard  Additional Enclosure(s) (please identify below):  Request to Amend Attorney  Docket Number |  |  |  |  |
| Individual Name Michael  | TURE OF APPLICANT, ATTORNEY,  Donohue  | OR AGENT  22504  PATENT TRADEMARK OFFICE   |  |  |  |  |
| Date April 28,   | lack Danvhue   |  |  |  |  |  |
| CERTIFICATE OF MAILING  I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date specified below.              |  |  |  |  |  |  |
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| Signature Date:  |  |  |  |  |  |  |

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PTO/SB/96 (08-00)

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#### **STATEMENT UNDER 37 CFR 3.73(b)**

| Applicant/Patent Owner: George E. Mueller et al.  |
|---|
| Application No./Patent No.: 10/698,261 Filed/Issue Date: October 31, 2003   |
| Entitled: SYSTEM AND METHOD FOR USE OF EXTERNAL SECONDARY PAYLOADS  |
|   |
| Kistler Aerospace Corporation, acorporation   |
| (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)  |
| states that it is:  |
| 1. 🛮 the assignee of the entire right, title, and interest; or  |
| 2. an assignee of an undivided part interest  |
| in the patent application/patent identified above by virtue of either:  |
| A. X An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.  OR                                |
| B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:  |
| 1. From: To:  |
| The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached  |
| 2. From: To:  |
| The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached  |
| 3. From: To:  |
| The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.   |
| Additional documents in the chain of title are listed on a supplemental sheet.  |
| Copi es of assignments or other documents in the chain of title noted in B above are<br>attached.   |
| [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8] |
| The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.   |
| J.C. Cannady    Date   Typed or printed name  |
| Date Typed or printed name  |
| Cannady   |
| Signature   |
| Vice President - Admixistration  Title  |
|   |

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J. C. Cannady Vice President - Administration, Contracts, & Program Control

#### ASSIGNMENT

WHEREAS, we, George E. Mueller, Gary Lai and Thomas C. Taylor (hereinafter referred to as ASSIGNORS), having post office addresses of 218 Main Street, #443, Kirkland, Washington 98033; 6513-B 24<sup>th</sup> Avenue, NE, Seattle, Washington 98115 and 3705 Canyon Ridge Arc, Las Cruces, New Mexico 88011, respectively, are the joint inventors of an invention entitled "SYSTEM AND METHOD FOR USE OF EXTERNAL SECONDARY PAYLOADS," as described and claimed in the specification forming part of an application for United States letters patent executed herewith. This application claims the benefit of Provisional Application No. 60/424,159, filed on November 6, 2002.

WHEREAS, Kistler Aerospace Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Washington having a place of business at 3760 Carillon Point, Kirkland, Washington 98033, is desirous of acquiring the entire right, title and interest in and to the invention and in and to any letters patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

| . /2 > /                            | Phill and  |
|-------------------------------------|--|
| Date /8/73/07                       | George E. Mueller  |
| State of Washingt<br>County of King | )<br>,   |
| County of King                      | ) ss.<br>)   |
| I certif                            | that I know or have satisfactory evidence that George E. Mueller is th   |
| person who appeared                 | before me, and said person acknowledged that he signed this instrumer    |
| and acknowledged it t               | be his free and voluntary act for the uses and purposes mentioned in the |
| instrument.                         |  |
| A Committee                         | Dated October 23, 2003   |
| A IVICA                             | Signature of 10.   |
| TARY &                              | Notary Public Dave U. Makea  |
| 8 300                               | Printed Name <u>Diane A. McRea</u>                                       |
| 10.15:05                            | My appointment expires 10/15/06  |
| OF WASH                             | wy appointment expires   |
| Minne                               |  |
|                                     |  |
|                                     |  |
| Date                                | Gary Lai   |
| State of                            | `  |
| State of                            | ) ss.  |
| County of                           | )  |
|                                     |  |
| I certif                            | that I know or have satisfactory evidence that Gary Lai is the person wh |
| appeared before me,                 | and said person acknowledged that he signed this instrument an           |
| acknowledged it to b                | his free and voluntary act for the uses and purposes mentioned in the    |
| instrument.                         |  |
|                                     | Dated  |
|                                     | Signature of   |
|                                     | Notary Public  |
|                                     | Printed Name   |
|                                     | My appointment expires   |

| 310003                    | Choms W          |
|---------------------------|------------------|
| Date                      | Thomas C. Taylor |
| State of NW)              | <b>3</b>         |
| County of Dona Ang. ) ss. |                  |

I certify that I know or have satisfactory evidence that Thomas C. Taylor is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

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| Dated October 31, 2003              |
|-------------------------------------|
| Signature of Notary Public Sun Mill |
| Printed Name Mena M. Mills          |
| My appointment expires 3/19/07      |

#### ASSIGNMENT

WHEREAS, we, George E. Mueller, Gary Lai and Thomas C. Taylor (hereinafter referred to as ASSIGNORS), having post office addresses of 218 Main Street, #443, Kirkland, Washington 98033; 6513-B 24<sup>th</sup> Avenue, NE, Seattle, Washington 98115 and 3705 Canyon Ridge Arc, Las Cruces, New Mexico 88011, respectively, are the joint inventors of an invention entitled "SYSTEM AND METHOD FOR USE OF EXTERNAL SECONDARY PAYLOADS," as described and claimed in the specification forming part of an application for United States letters patent executed herewith. This application claims the benefit of Provisional Application No. 60/424,159, filed on November 6, 2002.

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NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protecting title to said invention or letters patent therefor.

| Date   | George E. Mueller  |
|--|--|
| State of   | ·<br>)   |
| County of  | ) ss.<br>)   |
| I certify that   | I know or have satisfactory evidence that George E. Mueller is the   |
| person who appeared befor                              | e me, and said person acknowledged that he signed this instrument<br>his free and voluntary act for the uses and purposes mentioned in the   |
|  | Dated  |
|  | Signature of Notary Public   |
|  | Printed Name   |
|  | My appointment expires   |
| October 28, 200  Date  State of Wash.  County of King. | Gary Lai   |
| appeared before me, and                                | know or have satisfactory evidence that Gary Lai is the person who said person acknowledged that he signed this instrument and free and voluntary act for the uses and purposes mentioned in the |
|  | Dated 10.28.03   |
| WILLIAM C. D'ANCOM                                     | Notary Public the Cylancico  |
| THIMENT GO OF  | Printed Name Gillian C. O'Ancieco  |
| OF WASHING   | My appointment expires   |

 $(\hat{\phantom{a}})$ 

| Date            | Thomas C. Taylor  |
|-----------------|---|
| State of        | )<br>) ss.  |
| County of       | )   |
| person who appe | certify that I know or have satisfactory evidence that Thomas C. Taylor is the eared before me, and said person acknowledged that he signed this instrument ed it to be his free and voluntary act for the uses and purposes mentioned in the |
|                 | Dated   |
|                 | Signature of Notary Public  |
|                 | Printed Name  |
|                 | My appointment expires  |

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#### EXPRESS MAIL NO.EV398878487US

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**REVOCATION OF POWER** 

Date

PTO/SB/82 (10-00)
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10/698,261

October 31, 2003

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**Application Number** 

Filing Date

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|   | ZATION OF AGENT                    | Group Art Unit  |           |                                    |            |              |
|   |                                    | Examiner Name   |           |                                    |            |              |
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| I am the:   | I am the:                          |   |           |                                    |            |              |
| Applic  | cant/Inventor.                     |   |           |                                    |            |              |
| X Assignee of record of the entire interest. See 37 CFR 3.71. |                                    |   |           |                                    |            |              |
| Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)  |                                    |   |           |                                    |            |              |
| SIGNATURE of Applicant or Assignee of Record                  |                                    |   |           |                                    |            |              |
| Name  | J. C. Cannady, Vice P              | J. C. Cannady<br>Vice President - Administration Vice President<br>Contracts, & President |           | - Administration,<br>ogram Control |            |              |
| Signature   | f Caronaly                         |   |           |                                    |            |              |
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.

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\*Total of 1 forms are submitted.

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## **POWER OF ATTORNEY OR AUTHORIZATION OF AGENT**

| Application Number     | 10/698,261        |
|------------------------|-------------------|
| Filing Date            | October 31, 2003  |
| First Named Inventor   | George E. Mueller |
| Group Art Unit         |                   |
| Examiner Name          |                   |
| Attorney Docket Number | 59967-44          |

| I hereby ap  | point:                     |   |                      |                                 |  |                          |  |
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|  |                            | or agent(s) to prosecute the application nected therewith.                      | identifie            | above, and to trans             | sact all dusiness i  | n the Patent and         |  |
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| Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).  |                            |   |                      |                                 |  |                          |  |
| SIGNATURE of Applicant or Assignee of Record   |                            |   |                      |                                 |  |                          |  |
| Name J. C. Cannady, Vice President – Administration Vice President - Administration, Contracts, & Program Contract           |                            |   |                      |                                 |  |                          |  |
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| NOTE: Signatures of all the inventors or assignees of fecord of the entire interest or their representative(s) are required. |                            |   |                      |                                 |  |                          |  |
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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants** 

George E. Mueller et al.

Application No.

10/689,261

Filed

October 31, 2003

For

SYSTEM AND METHOD FOR USE OF EXTERNAL

**SECONDARY PAYLOADS** 

**Art Unit** 

3644

Docket No.

59967-44

Date

April 28, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-150

### REQUEST TO AMEND ATTORNEY DOCKET NUMBER

Sir:

Applicants hereby request the docket number for Application No. 10/698,261 be amended to read 59967-44.

Respectfully submitted,
George E. Mueller et al.
Davis Wright Tremaine LLP

Michael J. Donohue

Registration No. 35,859

MJD:gatc

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